



10-17-05

AF/3621
zww

PTO/SB/31 (04-05)

Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**NOTICE OF APPEAL FROM THE EXAMINER TO
THE BOARD OF PATENT APPEALS AND INTERFERENCES**

Docket Number (Optional)

0002.000

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)]
on _____

Signature _____

Typed or printed
name _____

In re Application of

FRANK HOWARD

Application Number

10/604,830

Filed

08/20/2003

For SYSTEM AND METHOD OF
MEDIATING BUSINESS TRANSACTIONS

Art Unit

3621

Examiner

CHEUNG, MARY

Applicant hereby **appeals** to the Board of Patent Appeals and Interferences from the last decision of the examiner.

The fee for this Notice of Appeal is (37 CFR 41.20(b)(1))

\$ 500.00

☒ Applicant claims small entity status. See 37 CFR 1.27. Therefore, the fee shown above is reduced by half, and the resulting fee is:

\$ 250.00

☒ A check in the amount of the fee is enclosed.☐ Payment by credit card. Form PTO-2038 is attached.☐ The Director has already been authorized to charge fees in this application to a Deposit Account. I have enclosed a duplicate copy of this sheet.☐ The Director is hereby authorized to charge any fees which may be required, or credit any overpayment to Deposit Account No. _____. I have enclosed a duplicate copy of this sheet.☐ A petition for an extension of time under 37 CFR 1.136(a) (PTO/SB/22) is enclosed.**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

I am the

☐ applicant/inventor.☐ assignee of record of the entire interest.
See 37 CFR 3.71. Statement under 37 CFR 3.73(b) is enclosed.
(Form PTO/SB/96)☒ attorney or agent of record.
Registration number 45,682☐ attorney or agent acting under 37 CFR 1.34.
Registration number if acting under 37 CFR 1.34. _____

Signature

GERHARD P. SHIPLEY

Typed or printed name

(785) 766-0116

Telephone number

10/14/2005

Date

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below*.☐ *Total of _____ forms are submitted.

This collection of information is required by 37 CFR 41.31. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11, 1.14 and 41.6. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

10/18/2005 RMEBRAHT 00000003 10604830

01 FC:2401

250.00 DP



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of:
HOWARD, FRANK

Serial No.: 10/604,830

Filed: 08/20/2003

Title:
SYSTEM AND METHOD OF
MEDIATING BUSINESS
TRANSACTIONS

Group Art Unit: 3621

Examiner: Cheung, Mary

MAIL STOP AF
Commissioner for Patents
PO Box 1450
Alexandria VA 22313-1450


EXPRESS MAIL TRANSMITTAL

Transmitted is/are: Express Mail Transmittal (1 page); PTO/SB/31 Notice of Appeal (1 page); \$250.00 fee; and Return Postcard.

ED 905953745 US
("Express Mail" Mailing Label Number)

Dated: October 14, 2005

Respectfully submitted,
SHIPLEY LAWSON & JACOB LLC

By: 
Gerhard P. Shipley, Reg. No. 45,682
4901 Main Street, Suite 220
Kansas City MO 64112
Telephone: (785) 766-0116

ATTORNEY FOR APPLICANT

(Docket No. 0002.000)